

# 1 Performance Analysis of Microsurfacing on Asphalt Pavements and Asphalt 2 Overlays

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## 6 ABSTRACT

7 The ability to monitor long-term performance of pavements has advanced significantly  
8 over the past 20 years. Performance monitoring provides quantitative measurements for  
9 longitudinal cracking, transverse cracking, fatigue cracking, rutting, friction and roughness and  
10 more. Until recently, the influence of asphalt surface treatments on performance has been  
11 difficult to quantify. The improved performance data collection has allowed for better  
12 quantitative analysis of the influence preservation treatments have on asphalt pavement and  
13 asphalt overlay performance. The microsurfacing industry has provided uniform guidance for  
14 microsurfacing implementation, helping agencies write specifications. Microsurfacing requires  
15 polymer modified asphalts and the enhanced properties have led to improved performance and  
16 growing use of innovative surface treatments like microsurfacing. For this study, the  
17 performance of twenty-three microsurfacing projects were studied to evaluate the overall effect  
18 of microsurfacing on pavement performance and longevity. The combined length all projects  
19 represents 336 lane-km of microsurfacing. Seventeen additional projects were constructed in  
20 2015-2016, which will be analysed in the future studies to enhance performance models. The  
21 analysis investigates the performance and longevity of microsurfacing treatments categorized by  
22 pavement condition at the time of the microsurfacing treatment. Enhanced performance  
23 predictions for microsurfacing will improve life cycle cost analysis predictions and alternative  
24 treatment selection. Performance models for microsurfacing treatments are also valuable for  
25 understanding how to integrate preservation and maintenance into mechanistic-empirical  
26 performance prediction software.

27 **Keywords:** microsurfacing, pavement performance, pavement preservation, pavement  
28 service life.

## 30 1. INTRODUCTION TO MICROSURFACING

31 Microsurfacing is a mixture of polymer-modified emulsified asphalt, mineral aggregate,  
32 mineral filler, latex polymer, water and additives. The application of microsurfacing is expected  
33 to improve surface friction, seal surface cracking and filling wheel ruts up to 1 ¼ inches deep  
34 (South Dakota DOT 2010; Maher et al. 2005). This treatment is also effective at inhibiting  
35 ravelling and oxidation. Gransberg (2010) defines microsurfacing as “*a mixture of cationic  
36 polymer-modified asphalt emulsion, 100% crushed aggregate, water, and other additives  
37 properly proportioned and spread over a prepared surface*”. Microsurfacing has three key traits  
38 that differentiate it from slurry seals: (1) the microsurfacing mixture always contains polymers,  
39 (2) chemical reactions cause rapid curing, and (3) the mixture can be placed in layers thicker  
40 than one stone deep (Gransberg 2010).

41 The rapid curing of microsurfacing allows traffic to be restored quickly, often within one  
42 hour after application (Lee and Shields 2010). Since microsurfacing does not enhance structural

1 capacity, it is not recommended for pavements exhibiting structural failures (South Dakota DOT  
2 2010).

3 The service life of microsurfacing depends on environmental conditions, condition of the  
4 pavement and time of microsurfacing application (Hicks et al. 2000, Maher et al. 2001 and Ohio  
5 DOT 2001). Typically, microsurfacing service life is greater than seven years for high traffic and  
6 can be longer for low traffic volumes (Maher et al. 2005). An NCHRP Synthesis questionnaire  
7 of US state highway agencies (SHAs) revealed microsurfacing has an average life of six years  
8 within a range of one year to fifteen years (Gransberg 2010). Johnson (2000) also reported that  
9 the expected service life of microsurfacing is about seven or more years but performance life is  
10 dependent on the condition of the pavement before treatment application. The Ohio DOT  
11 estimates the service life of microsurfacing to range from five to eight years.  
12

## 13 **2. PAVEMENT PERFORMANCE DATA**

14 Studies have been ongoing to better understand the performance of microsurfacing. Labi et al.  
15 (2007) determined the following influential factors on microsurfacing performance: pretreatment  
16 condition, freeze index, traffic, and pavement class; however, the pavement service lives were  
17 estimated using performance models developed by Indiana DOT, not actual historical data.  
18 Service lives are estimated based on the time elapsed by the pavement to revert to the  
19 pretreatment condition or a specific condition trigger (Labi et al. 2007). Ji et al. (2012) conducted  
20 structural evaluation of pavements by using the structural number (SN) to accurately evaluate the  
21 performance and life extension of microsurfacing. The study concluded that microsurfacing is  
22 not effective in terms of increasing pavement SN but does contribute to pavement life extension  
23 from one to one and a half years in terms of SN, two to three years in terms of roughness, eight  
24 years in terms of rutting and 4-15 years for pavement condition rating. Consistent and reliable  
25 methods for collecting data allows researchers to compile larger datasets to track performance.

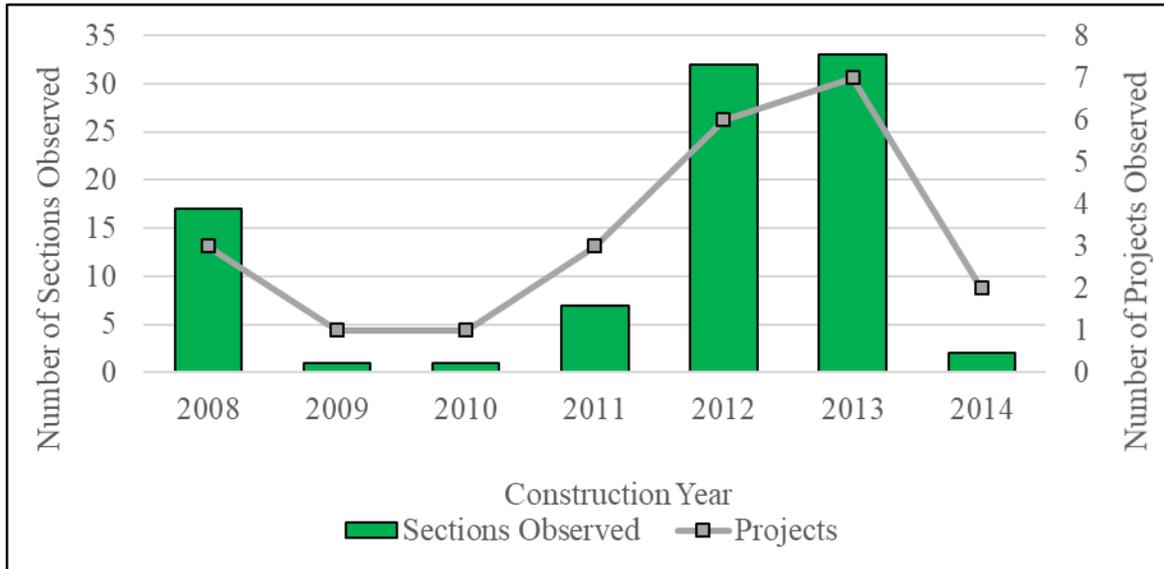
## 26 **3. PAPER OBJECTIVES AND METHODS**

27 The objective of this paper is to analyze microsurfacing performance of projects placed from  
28 2008 to 2014. For this study, microsurfacing performance was analyzed based on the pavement  
29 condition index (PCI). The PCI index ranges from 0 to 100 and is calculated from distress-  
30 specific indices. The general proportions and categories used to calculate the PCI for Iowa's  
31 pavement network are: 40% pavement cracking, 40% pavement roughness, and 20% pavement  
32 rutting (Bektas et al. 2014). The performance of microsurfacing treatments over time and the pre-  
33 construction condition of the pavement was considered. The findings of this research will  
34 improve our knowledge of microsurfacing performance and improve pavement candidate  
35 selection for microsurfacing.

## 36 **4. MICROSURFACING PROJECTS INCLUDED IN THIS STUDY**

37 Twenty-three microsurfacing projects with ninety-three sections were evaluated for this study.  
38 Additional projects have been constructed but do not currently have enough performance data to  
39 benefit the study. Figure 1 shows the number of sections (left axis), number of projects (right  
40 axis) and the construction year. In the graph, the bars represent the number of sections and the

1 number of projects is graphed using a line which references the right axis. Data is collected for  
 2 each pavement section and analyzed. A single microsurfacing project may several sections and  
 3 different sections may have different underlying conditions and pavement types. Table 1 shows  
 4 the general pavement categories included in this study. Much of Iowa's state highway network  
 5 has PCC somewhere in the underlying pavement layers and many of the composite pavements  
 6 have two or more asphalt overlays.  
 7



8  
 9 **FIGURE 1 Number of Pavement Sections and Projects**

10  
 11 **TABLE 1 Pavement Types and Number of Sections Studied**

<i>Pavement Types</i>	<i>Number of Sections Observed</i>
ACC	6
1 ACC Overlay ACC	8
2 ACC Overlays ACC	6
6 ACC Overlays PCC	4
5 ACC Overlays PCC	1
4 ACC Overlays PCC	4
3 ACC Overlays PCC	18
2 ACC Overlays PCC	25
1MSS, 1 ACC Overlay PCC	1
1 ACC Overlay PCC	12
PCC	9

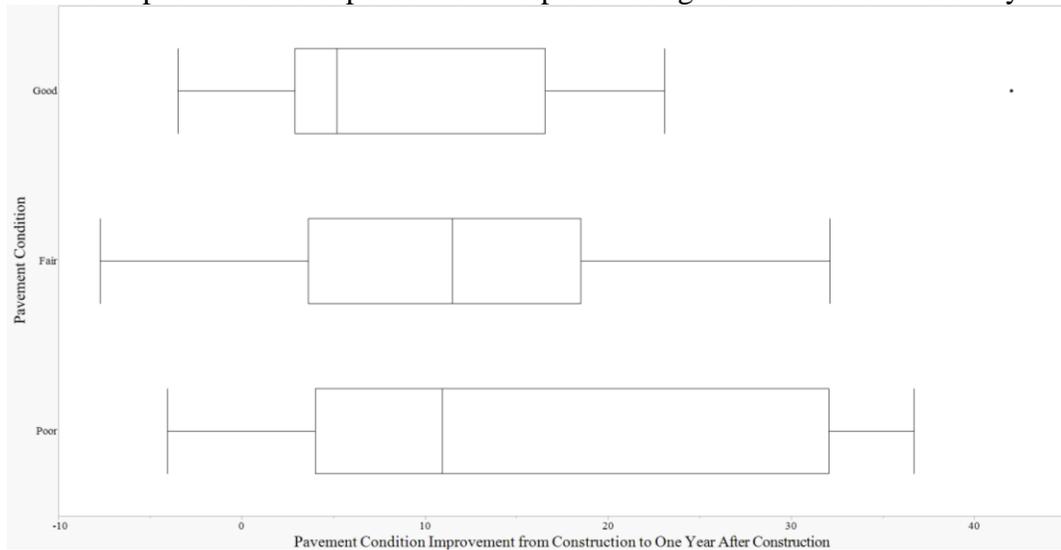
12 **5. MICROSURFACING DATA ANALYSIS**

13 The Iowa DOT collects pavement performance data to track and improve pavement  
 14 management. For this study, the pavement performance trends over time are plotted and box  
 15 plots are used to provide summary statistics of the data. JMP, a statistical software, was used to

1 graph the information and calculate the box plot summary statistics. For each box plot, shown in  
2 Figure 2, the box represents the 3<sup>rd</sup> (upper) quartile and the 1<sup>st</sup> (lower) quartile, 75% and 25% of  
3 the data, respectively. The line through the middle of the box represents the median value. The  
4 3<sup>rd</sup> quartile minus the 1<sup>st</sup> quartile represents the interquartile range (IQR). The whiskers  
5 extending from the box represent 1.5 x IQR (JMP 2017). The box plots with the graphs help to  
6 visualize trends of complex, variable data sets.

### 8 **5.1 Initial pavement condition improvements from microsurfacing**

9 Each pavement section treated with microsurfacing was categorized as “good”, “fair” or “poor”.  
10 The rating represents the pavement condition when microsurfacing was applied. A pavement  
11 with a PCI greater than 75 is categorized as good, a PCI in the range of 50 to 75 is fair and a PCI  
12 less than 50 is poor. Figure 3 displays the PCI improvement resulting from the microsurfacing  
13 treatment. For example, a “fair condition” pavement with PCI of 60 before microsurfacing and  
14 PCI of 70 one-year after microsurfacing would have a 10 PCI improvement. The analysis results  
15 are shown Figure 2. The pavements rated in good condition when the micro was applied already  
16 have high PCI values, so large increase is not observed. For pavements in fair and poor  
17 condition, the PCI improvement about 10 index points on average and 75% of microsurfacing  
18 treatments improved the pavements at least 4 index points. Pavements in poor condition showed  
19 an even higher average PCI increase of 12 points, for the first year. The initial increase in PCI  
20 shows that the improvement is dependent on the pre-existing condition of the roadway.

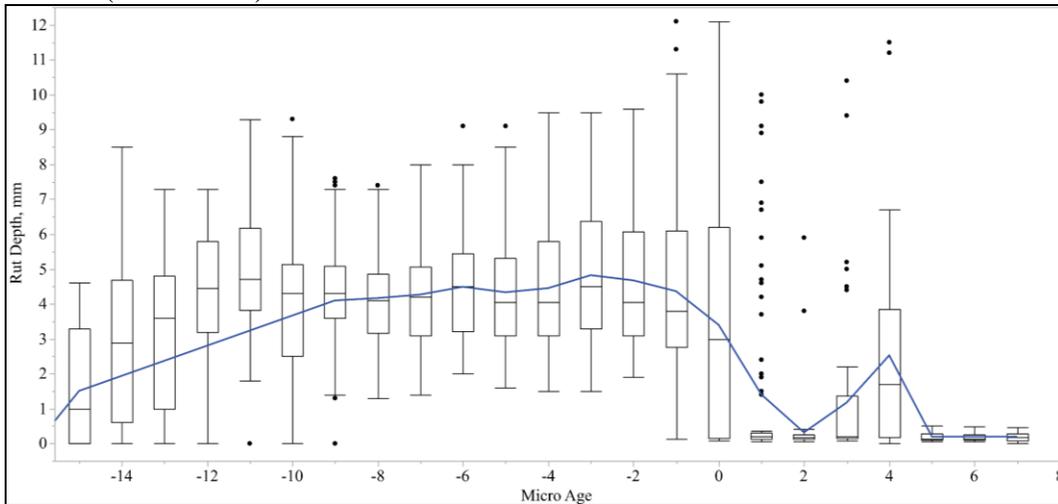


21 **FIGURE 2 Pavement condition index improvement measured from pre-construction to**  
22 **one-year after microsurfacing construction**

### 24 **5.2 Improved rutting depth from microsurfacing treatment**

26 Microsurfacing is often used to correct rutting in asphalt pavements. For this study, the  
27 rutting performance over time is presented in Figure 3. Microsurfacing age is shown on the x-  
28 axis where “0” represents the year microsurfacing was applied and a negative “micro age”  
29 represents the rutting data (in years) before microsurfacing was applied. Rut depth is shown for  
30 each year and is represented by a box plot. The one year before construction, -1, represents the  
31 best measure of improvement in rut depth. At year 1, the rut depth for more than 75% if sections

1 decreased to almost zero. There is a slight increase in the fourth year rutting data but remains  
 2 below the pre-construction rut-depth. To adequately fill ruts of less than 12.5 mm, a full-width  
 3 scratch coat to level the surface should be placed, followed by the final surface layer is  
 4 recommended (ISSA 2010).



5  
 6 **FIGURE 3 Rutting depth versus the age of the microsurfacing pavement (years)**  
 7 *“0” represents the year of microsurfacing treatment.*  
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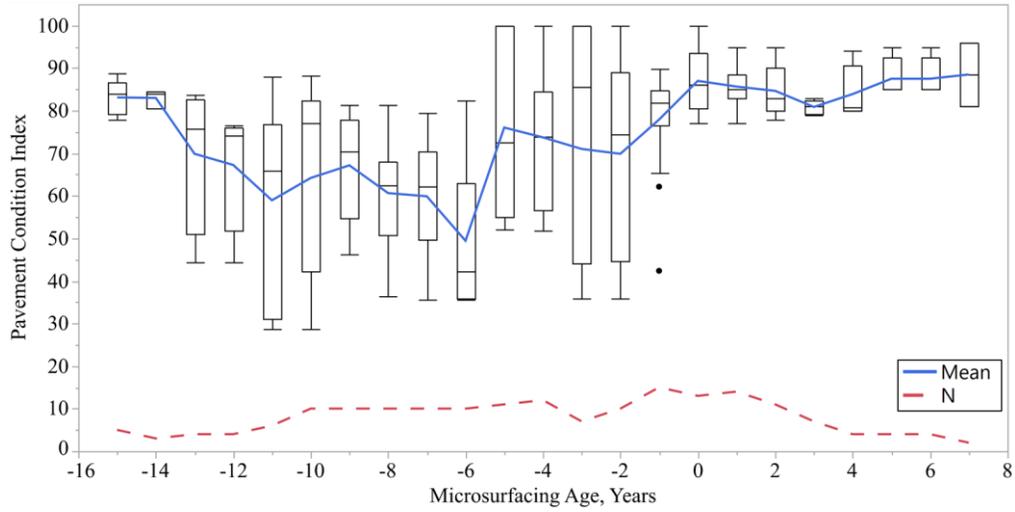
9 **5.3 Microsurfacing Performance on Pavements in Good, Fair and Poor Condition**

10 Figure 4 displays the PCI before and after the microsurfacing application. The blue solid  
 11 line represents the mean and the red dashed line represents the number of observations in the  
 12 dataset for that year. Figure 4 (a) displays pavements in good condition when microsurfacing  
 13 was applied. The PCI trend shows good pavements remain in good condition after  
 14 microsurfacing. Figure 4 (b) displays pavements in fair condition. Leading up to the  
 15 microsurfacing treatment, the pavements show a steady deterioration and an approximate eight-  
 16 point increase in PCI is observed followed by a deterioration curve similar to the pre-  
 17 microsurfacing deterioration trend. The pavements are showing an approximate five-year  
 18 average pavement life extension. The pavements in poor condition have a higher variability post  
 19 micro application likely due to other rehabilitation activities performed after microsurfacing. The  
 20 average trend also shows an approximate five-year life extension with differences in the data  
 21 trends for good, fair and poor pre-treatment conditions.

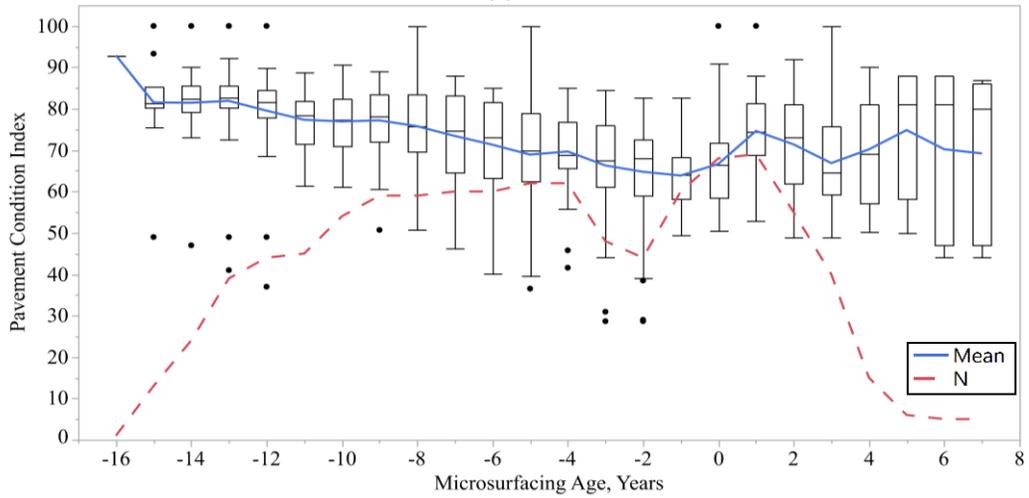
22 **6. CONCLUSIONS, RECOMMENDATIONS AND ACKNOWLEDGEMENTS**

23 The analysis of the microsurfacing data shows that microsurfacing provides life extension  
 24 and improves rutting. Data trends indicate that pre-treatment condition influences performance.  
 25 The estimated life extension average is five years based on PCI, an indexed combination of  
 26 rutting, cracking and roughness. Pavements in good condition remained in good condition after  
 27 treatment and fair and poor condition pavements service life extension with an increase in PCI.  
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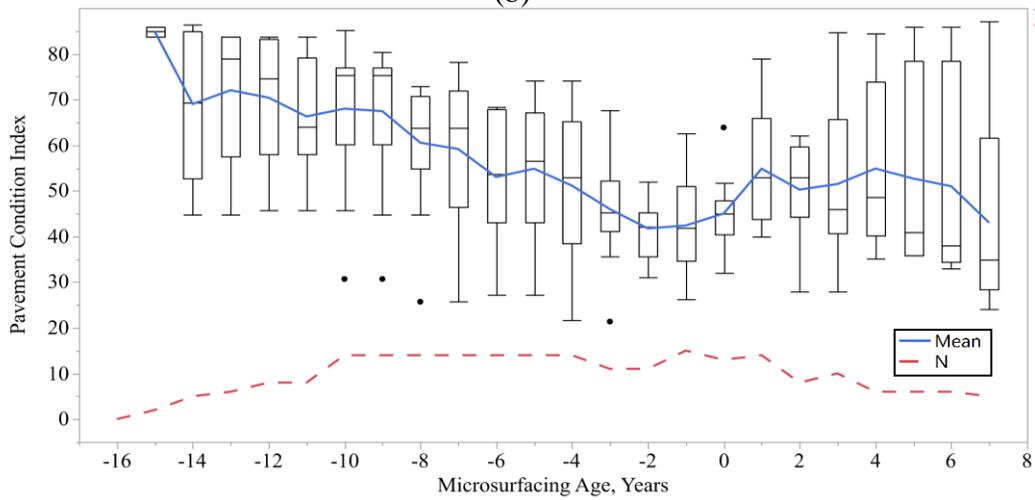
29 The authors would like to acknowledge the Iowa Department of Transportation for their  
 30 support, guidance and assistance with this research.



(a)



(b)



(c)

**FIGURE 4 PCI performance with microsurfacing age for pavements in (a) good, (b) fair and (c) poor**

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