

1 Discrete Element Study of Aggregate Damage during Asphalt Compaction

2 Erik Olsson¹, Denis Jelagin², Manfred Partl³

3 (¹ KTH Royal Institute of Technology, Department of Civil and Architectural Engineering,
4 Brinellvägen 23 SE-100 44 Stockholm Sweden, erolsson@kth.se)

5 (² KTH Royal Institute of Technology, Department of Civil and Architectural Engineering,
6 Brinellvägen 23 SE-100 44 Stockholm Sweden, jelagin@kth.se)

7 (³ Empa Swiss Federal Laboratories for Materials Science and Technology, Laboratory of Road
8 Engineering, Überlandstrasse 129 CH-8600 Dübendorf ,Switzerland, Manfred.partl@empa.ch)

9 ABSTRACT

10 Loads in asphalt are mainly transferred through contact between the stones and the
11 interaction between the stones and the binder. That makes asphalt suitable for investigations
12 using the Discrete Element Method (DEM). This study focuses on prediction of compactability
13 and damage of the aggregates. Explicitly, the influence of different aggregate gradations, mixture
14 temperatures and binder properties are studied. In the DEM simulations, aggregate fracture is
15 handled by a recently developed method of incorporating particle fracture in DEM, based on
16 previously performed fracture experiments on granite specimens. The binder phase is modeled
17 by adding a surface layer around each DEM particle. This surface layer has the same thickness as
18 the binder. The mechanical properties for the binder at different temperatures are taken from
19 literature. This DEM approach has been used for studying the behavior of asphalt mixtures in the
20 compaction flow test and during gyratory compaction. The results show that the proposed DEM
21 approach is able to provide both qualitative and quantitatively responses in both cases and also
22 provide predictions of aggregate damage. One large benefit with the modelling approach is that
23 different binder quantities and properties could be studied without re-calibration of model
24 parameters.

25 **Keywords:** Simulations, Discrete Element Method, Gradations, Binder properties,
26 Mechanical Behaviour

27 1. INTRODUCTION

28 The Discrete Element Method (DEM) provides a promising analysis tool for studying the
29 mechanical behavior of asphalt mixtures, e.g. [1,2]. As compared to the finite element method,
30 DEM allows capturing explicitly the rearrangement of particles in the material as well as
31 accounting for the effect of particle fracture. Thus, DEM is particularly advantageous for
32 examining asphalt mixture behavior at large deformations situations, such as compaction.

33 This study presents a newly developed DEM-based approach to study the macroscopic
34 behavior of asphalt mixtures during compaction. In order to obtain accurate simulation results,
35 an accurate contact law is essential, which provides the normal and shear forces on the
36 aggregates. Based on the experimental results obtained in a previous study [3] together with
37 results for viscoelastic contacts in the literature [4], new contact and failure laws for stones are
38 developed and incorporated into the DEM model. Here, the basic concepts of this model are
39 presented and discussed. The new DEM-based approach is used to model asphalt mixture
40 behavior during a compaction flow test [2,5] and gyratory compaction. The ability of the model
41 to capture the influence of mixture parameters on the compactability and the eventual stone

1 damage during compaction is examined. In particular, using a model with aggregates surrounded
2 by a binder phase allows accounting for variations in mechanical and volumetric binder
3 characteristics without any re-calibration of binder properties.

4 **2. SIMULATION MODEL**

5 **2.1 Discrete Element Method**

6 In the Discrete Element Method (DEM), which was invented by Cundall and Strack [6],
7 each single particle is modelled as one object and the local contact forces acting between the
8 objects determines the behaviour of the system. Hence, accurate models for the contact forces are
9 of critical importance, as discussed below.

10 DEM is a time-stepping algorithm and in each time step Δt , the contact forces are
11 calculated from the positions of the particles at the previous time step. To determine the positions
12 at the next time step, Newton's second law for each particle is integrated explicitly. Due to the
13 explicit nature of the algorithm, time steps cannot be made too large in order to have a
14 numerically stable solution. In order to have a feasible large time step, mass scaling is applied
15 which should not affect the response under quasi-static conditions [7] and is used in our study.
16 The DEM simulations are implemented in an in-house software written in C++. More details can
17 be found in [8,9].

18

19 **2.2 Contact Model**

20 The contact laws which provide the normal contact force F as function of the penetration
21 h and the tangential contact force T as function of tangential displacement s , is of utmost
22 importance for getting reliable predictions from the DEM simulations. For asphalt materials, one
23 important issue is the large difference in forces for small and large penetrations.

24 For small penetrations, only the binder phase surrounding the particles comes into contact
25 and "soft" viscoelastic behaviour is seen. The thickness t_{BP} of this binder phase is assumed the
26 same for all DEM particles. This binder phase includes both the asphalt binder and the fine stone
27 particles that are too small to be included in the DEM simulations. When the penetration is larger
28 than the thickness of the binder phase layer, the stones themselves start to deform and the contact
29 gets much stiffer.

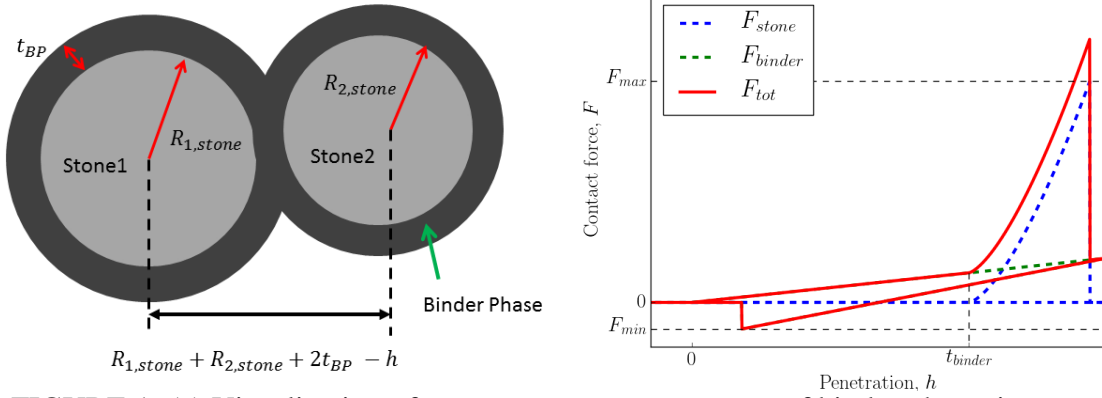
30 A visualization of this contact model is presented in FIGURE 1 (a). When the penetration
31 is less than $2t_{BP}$, it is assumed that only the binder affects the contact behavior and the contact
32 force can be calculated with a viscoelastic model using an incompressible binder material. This
33 force is denoted F_{binder} . For implementation reasons, the behavior in shear of the binder material
34 is described by a generalized Maxwell model and the expression for the relaxation modulus reads

$$G(t) = G_0 \left[1 - \sum_{i=1}^N \alpha_i (1 - \exp(-t/\tau_i)) \right] \quad (1)$$

35 where G_0 , α_i and τ_i are material parameters for the binder phase. The contact force is then
36 calculated using an incremental form of the solution presented by Lee and Radok [4]. The
37 implementation of this part of the contact model is left out in this paper for brevity but will be
38 presented and analyzed in an upcoming paper.

39 A last important part of the binder contact model is cohesion as indicated by the fracture
40 force F_{min} in FIGURE 1 (b). This bonding force is calculated using JKR theory [10] by

1 specifying the energy for separating the contacting surfaces. A value of 0.01 J/mm^2 has been
 2 used here.



3 FIGURE 1: (a) Visualization of two aggregates, at presence of binder phase, in contact. (b) A
 4 sketch of the contact force as function of penetration between the particles.
 5

6 When the penetration has exceeded $2t_{PB}$, the deformation of the stones themselves starts
 7 and a force F_{stone} is added to the total force. This force is calculated using elastic contact theory
 8 by Hertz [11] knowing that an elastic behavior is a good approximation for stone contact in the
 9 normal direction [3]. The total normal contact force is thus given by

$$F_{tot}(h) = F_{binder}(h) + \frac{4}{3} E_0 \sqrt{R_{0,stone}} (h - 2t_{PB})^{3/2} H(h - 2t_{PB}) \quad (2)$$

10 Where E_0 is the reduced Young's modulus for the two contacting particles which for equal
 11 materials becomes $E/2/(1 - \nu^2)$ with ν being the Poisson's ratio. $H(h - 2t_{PB})$ is the Heaviside
 12 step function giving forces between the stones only when the penetration is larger than $2t_{PB}$.
 13 $R_{0,stone}$ is the effective contact radius for the stone contact defined as

$$\frac{1}{R_{0,stone}} = \frac{1}{R_{1,stone}} + \frac{1}{R_{2,stone}} \quad (3)$$

14 If the stone contact force, i.e. the second term in Eq. (2), exceeds a critical force F_{max} , the
 15 stone fractures and the technique for incorporating fracture of DEM particles presented in [12] is
 16 utilized. It is important to note that if the penetration is smaller than $2t_{BP}$, the stone will not
 17 fracture as the stone itself is not subjected to contact forces. A sketch of this normal force model
 18 is presented in FIGURE 1(b) where the magnitude of the binder force has been exaggerated for
 19 visualization purposes. At stone fracture, the stone loses its stiffness depending on the other
 20 contact forces acting on the particle. More details of the stiffness reduction is found in [12].

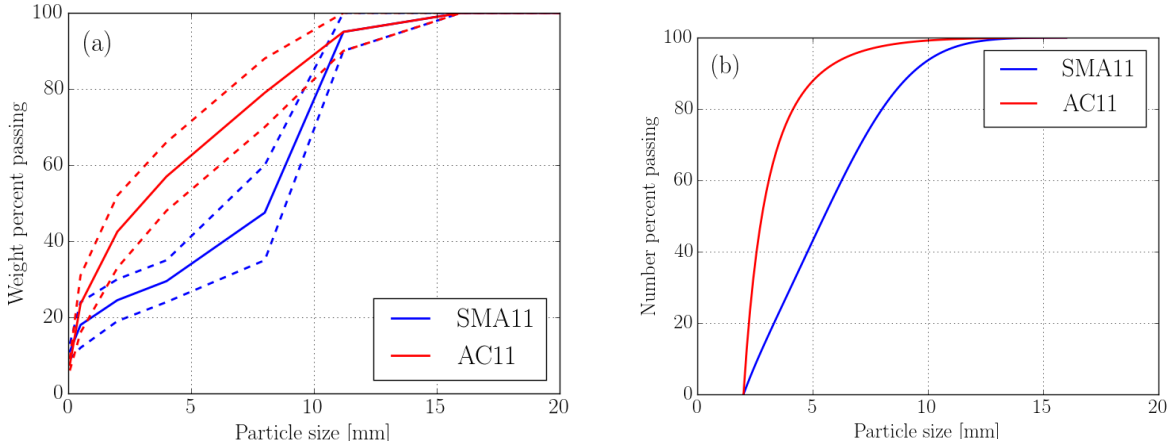
21 The tangential contact force as function of tangential displacement, $T(s)$, which acts
 22 between the particles and between particles and walls, is also important to consider as this force
 23 restrict the densification of the sample. However, tangential contact problems are much more
 24 difficult to analyze analytically than normal contact problems and thus more simplified models
 25 are needed. When only the binder force is active, the contact is assumed to be in a stick condition
 26 with no slip between the contact surfaces. Hence, a model based on a linear relationship between
 27 T and s is assumed but modified to account for the viscoelastic material behavior. The tangential
 28 stiffness is calculated from the binder properties according to [13] where the stiffness is
 29 proportional to the contact area. When the penetration is larger than $2t_{PB}$, a stick-slip frictional
 30 force is added, in the same way as for the normal force in Eq (2), assuming a coulomb friction
 31 coefficient of $\mu = 0.7$.

1 3. SIMULATION RESULTS

2 3.1 Numerical study

3 Two different aggregate gradations denoted AC11 and SMA11 are studied. The specified
 4 particle size distributions are presented in FIGURE 2 (a). In order to simulate a reasonable low
 5 number of particles, the fine particles smaller than 2 mm are discarded in the simulations and
 6 included implicitly in the simulation by the thickness and properties of the binder phase. All
 7 aggregates are assumed to be a spherical stone surrounded by a spherical binder shell with a
 8 thickness calculated to provide the simulated binder content.

9 Using a particle size distribution based on the weight-percent passing a sieve is
 10 unpractical for DEM. Hence, distributions based on the number of particles passing are
 11 constructed instead. This is performed by fitting the mean values, shown in FIGURE 2 (a), to a
 12 truncated normal distribution and then calculating the number percent of passing. This
 13 distribution is shown in FIGURE 2 (b).



14 FIGURE 2: (a) The particle size distributions used presented as weight percent
 15 cumulative density function. The dashed lines show the limits and the solid line the mean used
 16 for generating the particles. (b) The same distributions presented in terms of number of particles.

17 The aggregates are assumed to be elastic with contact behavior as stated in Section 2.2.
 18 The Young's modulus and the Poisson's ratio are taken from the experimental results in [3] with
 19 values $E = 74$ GPa and $\nu = 0.15$. For the aggregate fracture model, each aggregate is assigned
 20 its own fracture strength σ_F which is Weibull distributed according to the following cumulative
 21 density function F

$$F = 1 - \exp \left[- \left(\frac{\sigma_F}{\sigma_0} \right)^m \frac{V}{V_{ref}} \right] \quad (4)$$

22 where σ_0 and m are material parameters determined in [3] to be. $\sigma_0 = 386.5$ MPa and $k = 3.87$.
 23 V is a scaling volume for the spheres in the DEM model taken to be the particle radius cubed and
 24 V_{eff} is a scaling effective volume of 244 mm^3 .

25 The mechanical behavior of the binder phase is defined in Eq. (1), and suitable material
 26 data is found in [14]. The material data presented therein assumes a Burgers model with the
 27 drawback that the DEM particles will have zero modulus at infinite time. This issue has been
 28 solved by multiplying the relaxation parameters α_i with the amount of fine particles in the binder

1 phase. The used parameters for the generalized Maxwell model are presented in TABLE 1 for
 2 different temperatures.

3 TABLE 1: Material properties for the binder for the two different investigated
 4 temperatures. The data is taken from [14] and in the simulations, α_1 and α_2 needs to be
 5 multiplied with the volume fraction of binder in the binder phase layer.

| T [°C] | G_0 [MPa] | α_1 [-] | τ_1 [s] | α_2 [-] | τ_2 [s] |
|------------|-------------|----------------|--------------|----------------|--------------|
| 110 | 17.74 | 0.684 | 0.0703 | 0.316 | 64.223 |
| 150 | 6.65 | 0.513 | 0.0948 | 0.487 | 105.9 |

6 7 **3.2 Simulation of Particle flow Experiments**

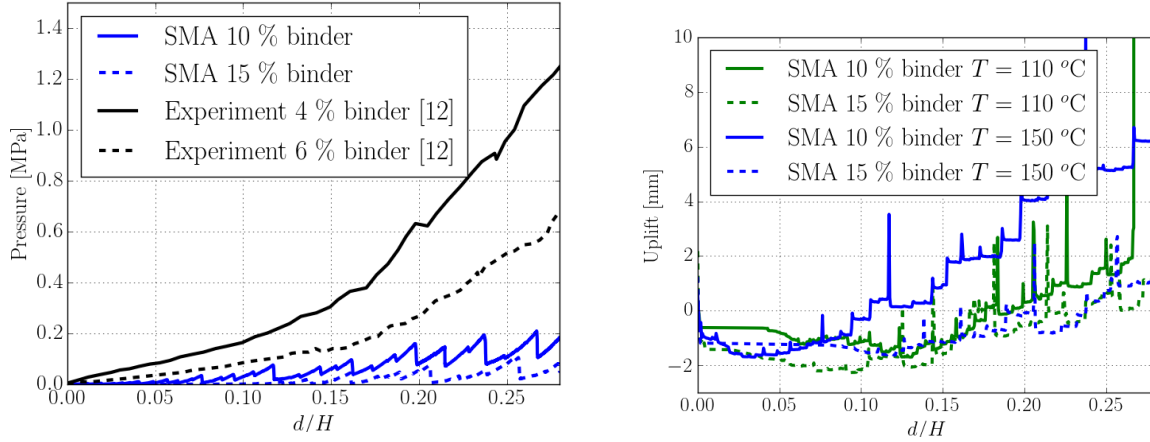
8 The first type of experiments that is simulated is the Compaction Flow Test presented in [5]. In
 9 these experiments, a container is filled with asphalt material having a volume
 10 of $150 \times 100 \times 100 \text{ mm}^3$. On one side of the container, a loading strip, with dimensions
 11 $50 \times 100 \text{ mm}^2$ is moved downwards vertically to apply the loading. This is performed using
 12 controlled displacement with a velocity of 15 mm/min.

13 The simulation starts by generating a random “gas” of particles with a packing density of
 14 30 %: In a second step, the packing is generated by applying a gravitational field to the particles.
 15 After the kinetic energy has decreased below a threshold value, the sample is considered in rest
 16 and the flow test begins. During the flow test, the force on the loading strip is monitored
 17 continuously together with the positions of the particles. The force divided by the area of the
 18 strip is presented in FIGURE 3 (a) as function of the displacement divided by the height of the
 19 particle bed. As expected, the simulated response is significantly weaker than the experimental
 20 results in [5] due to the much higher binder content assumed in the simulations. The noisy
 21 response in the simulations could be explained that only large aggregates are simulated. The
 22 uplift, which is defined as the increase of the mean of the 10 highest material points in the
 23 container is presented in FIGURE 3 (b). Initially, a compression of the sample is seen but
 24 eventually an uplift up to 5 mm occurs. This uplift increases with sample stiffness, i.e. with
 25 decreasing temperature and binder content, as expected. Also here, the response is a bit noisy
 26 which is due to the fact that the movement of a single particle has a large influence on the uplift,
 27 as defined here.

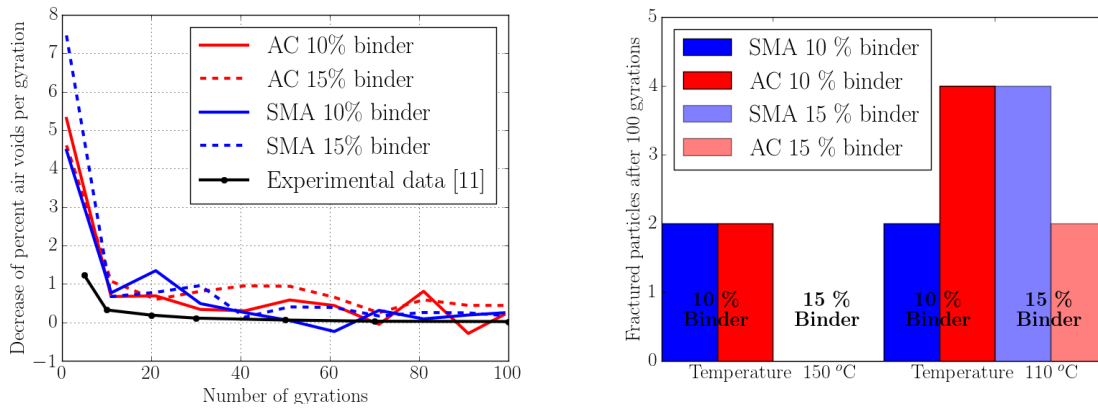
28 29 **3.3 Simulation of Gyrotory Compactor Experiments**

30 The gyrotory compactor simulations are initiated in the same way as for the particle flow test.
 31 After the particles have settled, a plate that is inclined with 1° is placed on the top of the
 32 particles. On that plate, a pressure of 600 kPa is applied by increasing the pressure linearly
 33 during one second. When the pressure is fully applied, the gyration starts by imposing a
 34 controlled rotation of the plate with a velocity of 0.5 revolutions per second. During gyration, the
 35 packing density is monitored continuously and is presented in the form of air void decrease in
 36 FIGURE 4 (a). A relative measure is chosen because the thick layer of binder and fine stone
 37 particle that surrounds each modelled particle becomes too compliant at high packing densities.
 38 This occurs because the hydrostatic pressure in the binder at high densities is not accounted for
 39 and therefore leads to an overestimated compressibility. The overestimated compressibility in the
 40 beginning is due to the fact that the binder material is distributed as a spherical shell around each
 41 stone and the first few gyrations have to level this layer.

1 The number of fractured particles is presented in FIGURE 4 (b) for different mixture
 2 temperatures, gradations and binder thicknesses. It is evident that fracture of the particles is very
 3 rare since only 0-4 out of 5000 particles fracture during the process. It is also seen that
 4 decreasing the binder temperature leads to a stiffer response and more frequent damage of the
 5 aggregates with increasing contact forces.



6 FIGURE 3: (a) Force displacement relationship at the flow test for SMA gradations at
 7 150 °C. (b) The simulated uplift during the test.



8 FIGURE 4: (a) Simulated air void change per gyration for a mixture at 150 °C. (b) The
 9 number of fractured particles for all studied configurations.

10 4. CONCLUSIONS

11 Using a DEM model where the asphalt is modelled as stones with a surrounding binder
 12 layer is concluded to be beneficial as different binder contents and binder types can be accounted
 13 for easily without re-calibrating contact law parameters. This has been demonstrated by
 14 providing adequate predictions for two different mechanical tests on asphalt mixtures. The DEM
 15 model also provides insights that are difficult to investigate experimentally, for instance
 16 aggregate damage. However, for qualitative predictions of air voids, further investigations are
 17 needed on the smallest size of aggregates that needs to be included in the model.

1 **REFERENCES**

2 [1] Collop AC, McDowell GR, Lee YW. Modelling dilation in an idealised asphalt mixture
3 using discrete element modelling. *Granul Matter* 2006;8:175–84.

4 [2] Ghafoori Roozbahany E, Partl MN. A new test to study the flow of mixtures at early
5 stages of compaction. *Mater Struct* 2016;49:3547–58.

6 [3] Celma Cervera C, Jelagin D, Partl MN, Larsson P-L. Contact-induced deformation and
7 damage of rocks used in pavement materials. *Mater Des* 2017;133:255–65.

8 [4] Lee EH, Radok JRM. The Contact Problem for Viscoelastic Bodies. *J Appl Mech*
9 1960;27:438.

10 [5] Ehsan Ghafoori Roozbahany, Manfred N.Partl, Alvaro Guarin. Particle flow during
11 compaction of asphalt model materials. *Constr Build Mater* 2015;100:273–84.

12 [6] Cundall PA, Strack ODL. A discrete numerical model for granular assemblies.
13 *Géotechnique* 1979;29:47–65.

14 [7] C. Thornton; S.J. Antony. Quasi–static deformation of particulate media. *Philos Trans R*
15 *Soc London A Math Phys Eng Sci* 1998;356.

16 [8] Olsson E, Larsson P-L. On the effect of particle size distribution in cold powder
17 compaction. *J Appl Mech Trans ASME* 2012;79.

18 [9] Olsson E, Larsson P-L. A numerical analysis of cold powder compaction based on
19 micromechanical experiments. *Powder Technol* 2013;243.

20 [10] Johnson KL, Kendall K, Roberts AD, Johnson KL. Surface Energy and the Contact of
21 Elastic Solids. *Source Proc R Soc London Ser A, Math Phys Sci* 1971;324:301–13.

22 [11] Hertz H. Über die Berührung fester elastischer Körper. *J Für Die Reine Und Angew Math*
23 1882.

24 [12] Olsson E, Larsson P-L. Micromechanical investigation of the fracture behavior of powder
25 materials. *Powder Technol* 2015;286.

26 [13] Olsson E, Larsson P-L. On the tangential contact behavior at elastic-plastic spherical
27 contact problems. *Wear* 2014;319.

28 [14] Chen J, Huang B, Chen F, Shu X. Road Materials and Pavement Design Application of
29 discrete element method to Superpave gyratory compaction Application of discrete
30 element method to Superpave gyratory compaction. *Road Mater Pavement Des*
31 2012;13:480–500.

32
33